

Note on the element generation of an algebraic colimit

stma

August 18, 2024

While a colimit is of a typical object in category theory hence so much in the closely related areas such as categorical logic and type theory, its construction can be a subtle issue especially within a strict category setting where elementhood matters in the objects.

We describe a concrete method of “generating an element of colimit” in terms of so called solution set as a part of necessary and sufficient conditions of adjoint functor theorem. The main ideas are originated from [3].

1 The solution set condition and its interpretations

The *solution set condition*, originally formalized as a necessary condition that a functor (under some condition) yields a left adjoint, is an interesting assertion by itself simply because it gives an explicit construction of a colimit.

More precisely, *solution set condition* denotes the existence of “weakly universal objects” in some sense that can be expressed (or unified) in terms of functor among the following (general) *Adjoint functor theorem* and its corollaries:

- Adjoint functor theorem;
- Condition for the existence of initial object;
- Representable functor theorem.

Let A be a locally small, complete small category and let F denote a functor from the specified domain A , where the codomain is the key feature that characterizes what the solution set is for.

For given functor $F : A \rightarrow X$, the (unified) *solution set condition* is concisely stated as:

$$\forall x \in \text{Ob}(X), \text{wInit}(x \downarrow F) \neq \emptyset \quad (1)$$

where wInit denotes a (small) set of *weakly initial objects*, whose element suffices the condition of initial object except the uniqueness condition. (1) may be seen clearly in the diagram

$$\begin{array}{ccc} \begin{array}{c} a \\ \uparrow \hat{\exists} t \\ \vdots \\ a_0 \end{array} & \text{s.t.} & \begin{array}{ccc} & & Fa \\ & \nearrow f & \uparrow Ft \\ x & \xrightarrow{f_0} & Fa_0 \end{array} \end{array}$$

The condition (1) will be interpreted accordingly in a context.

1.1 Condition for the existence of initial object

When $F : A \rightarrow \mathbf{1}$ is a constant functor, we have a necessary *condition for the existence of initial object*, i.e.

$$\text{wInit}(\mathbf{1} \downarrow F) \neq \emptyset.$$

by setting $X = \mathbf{1}$ in the previous notation. Note that F canonically preserves every (small) limits because any universal cone $\tau : a \rightarrow P \in A^J$ collapses to the zero object in $\mathbf{1}$.

1.2 Representable functor theorem

Analogous to the *condition for the existence of initial object*, a representation of $F : A \rightarrow X$ is identified with the universal arrow $\text{Init}(* \downarrow F)$ for $X = \mathbf{Set}$, or equivalently the *unit of adjoint* $\eta : I_1 \rightarrow F'S$, where $\mathbf{1}$ is identified with the faithful subcategory $* \subset X = \mathbf{Set}$, F' the composition of F followed by $X \rightarrow \mathbf{1}$ and $S : \mathbf{1} \rightarrow A$ a choice functor.

The conclusion is remarkable to restate.

Fact. F is representable if and only if $\text{wInit}(* \downarrow F) \neq \emptyset$ and F preserves small limit.

2 Subobjects and spanning maps

For an arbitrary category A , we can consider "subobjects" of an object $a \in A$, whose elements are monos $u : b \rightarrow a$ together with the order relation defined by $u \leq v$ if and only if there exists some $u' : b \rightarrow b'$ and a mono $v : b' \rightarrow a$ such that $u = v \circ u'$, or to say concisely u factors through v .

$$\begin{array}{ccc} & & a \\ & \nearrow u & \uparrow v \\ b & \cdots \cdots \rightarrow & b' \end{array}$$

By defining $(u \leq v) \wedge (v \leq u) \iff u = v$, we call the set of equivalence classes *subobjects of a*. We denote subobjects of a by $\text{Sub}(a) \in \mathbf{Pos}$.

Definition. For a given functor $G : A \rightarrow X$, a map $f : x \rightarrow Ga$ is said to **span** a when there is no (non-isomorphic) mono $s \rightarrow a$ such that f factors through $Gs \rightarrow Ga$.

Lemma 1. Fix a category A and its object $a \in A$. Suppose the pullbacks for any set of subobjects $S \subset \text{Sub}(a)$ exists, denoted by $\cap S \in \text{Sub}(a)$. If a functor $G : A \rightarrow X$ preserves the all such pullbacks, then every map $h : x \rightarrow Ga$ factors through a map $f : x \rightarrow Gb$ that spans b .

Proof. For $h : x \rightarrow Ga$, let $U = \{u_i : b_i \rightarrow a\} \subset \text{Sub}(a)$ be a set of subobjects such that $\exists f_i : x \rightarrow Gb_i$ with $h = Gu_i \circ f_i$ for each i . Since by assumption there exist the pullback $\cap U \in \text{Sub}(a)$ which we denote as $u : b \rightarrow a$, we see it suffices $h = Gu \circ f$, where f is the induced map on pullback diagrams of $\{Gb_i \xrightarrow{Gu_i} Ga \xleftarrow{Gu} Gb\}_i$.

f apparently spans b by the construction of U ; precisely, if there is a mono $(\epsilon : c \rightarrow b) \in \text{Sub}(b)$ such that f factors through $G\epsilon$ for some $g : x \rightarrow Gc$ (i.e. $f = G\epsilon \circ g$), then $u \circ \epsilon \in \text{Sub}(a)$ must be in U and since u is the pullback, c must coincide with b , analogously g with f and ϵ with id_b .

$$\begin{array}{ccc} x & \xrightarrow{h} & Ga \\ \downarrow g & \searrow f & \uparrow Gu \\ Gc & \xrightarrow{G\epsilon} & Gb \end{array}$$

□

3 Universal Algebra

There is a way of modelling vast variety of algebras in a unified manner, called *universal algebra*, which assigns a type of algebraic system to a given set. While the original formulation leads back to 1960's, when the works by Cohn [1] and Grätzer [2] were published, the idea is not faded and worth noting here.

Definition. The *type* τ of algebraic system is a pair $\tau = \langle \Omega, E \rangle$ of a graded set of operators Ω and a set of identities E .

An operator $\omega \in \Omega$ is endowed with the number of parameters $n(\omega)$ called *arity*, together with a given *underlying set* S , we have the *action of* Ω *on* S defined by

$$\omega \mapsto (\omega_{\mathcal{A}} : S^{n(\omega)} \rightarrow S)$$

where \mathcal{A} is a realized set of algebra (equivalently, an algebraic system) associated with the type τ , or τ -algebra.

Although the set of operators are to be extended by definition of τ -algebra, uniquely to that of derived operators Λ by *assignment* and *composition* of operators, we identify Ω with a set of derived operators, Λ . Here we remark the uniqueness of the extension.

$$\begin{array}{ccc} \Omega & \xrightarrow{\quad} & \Lambda \\ \downarrow & & \downarrow \exists! \\ \{\omega_{\mathcal{A}}\} & \xrightarrow{\quad} & \{\lambda_{\mathcal{A}}\} \end{array}$$

Now the set of identities E are represented in terms of a set of ordered pairs $\langle \omega, \omega' \rangle$, taken from (derived) operators with the same arity such that $\omega_{\mathcal{A}} = \omega'_{\mathcal{A}}$ hold.

In order to express a concrete algebraic structure in the framework, we would soon realize that it's required to dig into the rigorous construction of the relevant theory (e.g. Lawvere theory), by which a series of uncertainty will be vanished, such as *how the operators are exactly derived?* and *what kind of operations are permitted for the parameters, while the specified arity are taken into account? (ignore and/or copy parameters)*.

Aside from the detailed discussion, we can express a group G in the words of universal algebra, by operators $\Omega = \{\omega_0, \omega_1, \omega_2\}$, with the identities E corresponding to $ex = x = xe, xx^{-1} = e = x^{-1}x$ and $x(yz) = (xy)z$, where ω_0 is the assignment of identity $\langle \rangle \mapsto e$, ω_1 the assignment of the inverse $\langle x \rangle \mapsto x^{-1}$ and ω_2 the multiplication $\langle x, y \rangle \mapsto xy$.

4 A general method of constructing a colimit as the result of a left adjoint functor from **Set**

It is an immediate consequence of *adjoint functor theorem* that we have a **general method** to construct a colimit of certain type in a particular class of categories.

The applicable class of categories represented by C should suffice the following properties.

- (a) C is locally small and complete small;
 - (b) It is given a continuous functor F to **Set**;
 - (c) F suffices solution set condition (1).
- (2)

Not exclusive yet particularly important instance of such classes is the *algebraic system* of fixed type, which forms a category of algebra \mathbf{Alg}_{τ} of given type τ . \mathbf{Alg}_{τ} suffices (2) along with the functor known as forgetful functor $U : \mathbf{Alg}_{\tau} \rightarrow \mathbf{Set}$.

If we are given such functor with these properties, *adjoint functor theorem* states that the continuous functor $F : C \rightarrow \mathbf{Set}$ admits a left adjoint, which preserves a colimit of **Set**, hence the image of the left adjoint is the constructed colimit in C .

Moreover, this construction procedure can be done in an algorithmic manner. Above lemma shows that, the solution set $\text{wInit}(x \downarrow F)$ of $x \in C$ can be identified with the union set of maps that span from x . This can be seen as follows:

To a given map $h : x \rightarrow Fa$, choosing a map $(u : x \rightarrow Fb) \in \text{wInit}(x \downarrow F)$ through which h factors is equivalent to inducing a (part of) pullback map $u : x \rightarrow Fb$ in the diagrams of the form $Fs \rightarrow Fa \leftarrow Fb$.

This last description roughly speaks of algorithmic nature of a (co)limit preserving condition of procedure (i.e. functor), namely providing with a "basis" in the target category against an arbitrary object of source category.

Here we mean by "basis" a set of objects that yields a sort of irreducibility (c.f. might be preferable to express as "atomicity" in a context).

4.1 Coproduct in **Grp**

In **Grp**, the free group construction F is the left adjoint to the forgetful functor $U : \mathbf{Grp} \rightarrow \mathbf{Set}$, where a solution set of $s \in \mathbf{Set}$ is composed of every monomorphisms in the form of $s \rightarrow Ut$, having no factorization through another mono $s \rightarrow Ut'$. Hence s generates t freely as a group.

References

- [1] P. M. Cohn. *Universal Algebra*. 1st ed. Mathematics and Its Applications. Springer, Apr. 1981. ISBN: 9027712549. URL: <http://www.worldcat.org/isbn/9027712549>.
- [2] George Grätzer. *Universal Algebra*. Springer, 1968.
- [3] Saunders MacLane. *Categories for the Working Mathematician*. Graduate Texts in Mathematics, Vol. 5. New York: Springer-Verlag, 1971, pp. ix+262.